

SAC
#2
2-12-02

PATENT
Docket 17MY-7138

J1011 U.S. P. 10/038223
10/23/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John W. Short

Serial No.: 10/038223

: Group Art Unit: 1740

Filed: Herewith

: Examiner: Yee

For: HIGH-STRENGTH HIGH-TOUGHNESS PRECIPITATION-HARDENED STEEL

Hon. Commissioner of Patents & Trademarks
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

- 1. PTO-1449 [1.97(b)] - (within 3 months or prior to first Office Action)
- 2. Certification [1.97(c)] - (before final Office Action or before Notice of Allowance)
- 3. Fee Payment [1.97(c)] - (before final Office Action or before Notice of Allowance)
- 4. Petition, Certification & Petition Fee Payment [1.97(d)] - (before issue fee payment)

The following are submitted in the above-identified application in compliance with 37 CFR §§1.97 and 1.98:

- 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed.

This paper is submitted in accordance with:

- 6. 37 CFR §1.97(b) (within three (3) months of the application filing date or before a first Office Action on the merits).
- 7. 37 CFR §1.97(c) (before final Office Action or Notice of Allowance, whichever is earlier); and
 - 8. The required certification made in Item 11 below, or
 - 9. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in Item 14 below.

10. 37 CFR §1.97(d) (before payment of issue fee); and

- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in Item 14 below.
- b) The required certification is made in Item 11 below.

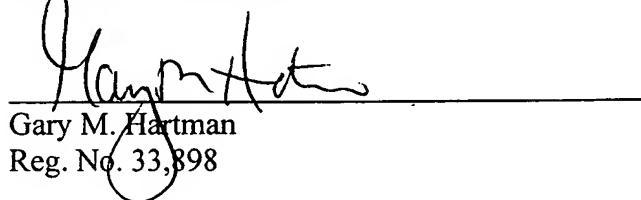
11. Certification

12. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this Statement.

14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 08-0960.

Respectfully submitted,



Gary M. Hartman
Reg. No. 33,898

Hartman & Hartman, P.C.
Valparaiso, Indiana 46383
(219) 462-4999

October 22, 2001

BEST AVAILABLE COPY

INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Attorney Docket No. 17WS-7138	Application No.
Submitted by: 	Applicant: John W. Short	
Gary M. Hartman, Reg. No. 33,898 for General Electric Company	Filing Date:	Group Art No.

1011 U.S. PTO



U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BEST AVAILABLE COPY

~~BEST AVAILABLE COPY~~

Examiner

Date Considered

***Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.**